

## SWReGAP Land Cover Mapping Methods Documentation

Functional Unit or Mapping Zone: CO-1 & CO-2 (MMZ7)	1
Organization: Colorado Division of Wildlife, Denver, CO	1
1) Predictor layer preparation:	2
a) <i>Image standardization:</i>	2
b) <i>Image dates and mosaicking:</i>	2
c) <i>Image derived datasets</i>	3
d) <i>DEM derived datasets:</i>	4
2) Samples:	4
a) <i>Sample collection methods:</i>	4
b) <i>Summary of samples:</i>	5
3) Cover types:	7
a) <i>Classification Tree (CT) modeled cover types:</i>	7
b) <i>Non CT modeled cover types:</i>	7
4) Summary of predictor layers used:	7
a) <i>Multi band predictors:</i>	7
b) <i>Single band predictors:</i>	7
5) Modeling Methods:	7
a) <i>See5 Classification Tree modeling:</i>	7
b) <i>Post-classification, recoding and other modeling steps:</i>	9
c) <i>Generalizing to MMU and map completion:</i>	11
6) Validation:	11
a) <i>CT model validation:</i>	11
b) <i>Discussion of mapped cover types:</i>	12
7) Citations:	17

### **Functional Unit or Mapping Zone: CO-1 & CO-2 (MMZ7)**

(For convenience, Colorado’s relatively small portions of two “Mega-mapzones” were merged into one “Colorado West Slope” mapzone.) Occasionally referred to as our Mega-mapzone 7 (MMZ7).

**Organization: Colorado Division of Wildlife, Denver, CO**

**Person Preparing Document: Eric Waller**

**Date of Preparation: 23 July, 2004**

## 1) Predictor layer preparation:

### a) Image standardization:

Standardization from DN values to at-sensor reflectance was performed on Landsat 7 ETM+ imagery using methods presented by Huang et. al (2001a). The resulting reflectance values were multiplied by 400, to make use of the range of byte data. The equation used for reflectance was as follows:

$$\rho_{BandN} = (PI((DN_{BandN} * Gain_{BandN} + Bias_{BandN}) * D^2) / (E_{BandN}(SIN\theta)))$$

Where,

$\rho_{BandN}$  = Reflectance for Band N

$DN_{bandN}$  = Digital Number for Band N

D = Normalized Earth-Sun Distance

$E_{bandN}$  = Solar Irradiance for Band N

$\theta$  = Solar Elevation

*[Colorado specifically avoided performing any dark object subtraction. This is consistent with the U.S.G.S. EROS Data Center (EDC), which does not perform the dark object subtraction on their imagery. It is thought that this technique may not offer much improvement, accounting for path radiance but not accounting for atmospheric transmission. It can also be difficult to ascertain appropriate dark object values, especially in images with variable atmospheric conditions or regions with variable atmospheric depth (such as mountainous areas).]*

### b) Image dates and mosaicking:

Images were mosaicked using ERDAS Imagine 8.6 Mosaic Tool with “no outline” for type, and the “Overlay” option for overlap function.

Image dates and scenes were as follows:

ETM+ Scene (path/row)	Spring (yr- Julian date)	Summer (yr- Julian date)	Fall (yr- Julian date)
34/33	2001-107	1999-182	1999-310
34/34	2001-107	2000-201	1999-310
35/32	2001-162	2000-256	1999-317
35/33	2000-144	2000-256	1999-285
35/34	2000-144	2000-256	1999-285
36/32	2000-103	2000-247	1999-292
36/33	2000-103	2000-167	1999-308
36/34	2000-103	2000-167	1999-292
37/32	2001-128	2000-158	1999-283

Images showing overlap arrangement (precedence given to lower numbers) of the scenes and their dates for each of the mosaics are available at:

/CO/ARCHIVE/CO1\_CO2\_MMZ7/MOSAICS/mz7spri\_scene\_seq.img

/CO/ARCHIVE/CO1\_CO2\_MMZ7/MOSAICS/mz7summ\_scene\_seq.img  
/CO/ARCHIVE/CO1\_CO2\_MMZ7/MOSAICS/mz7fall\_scene\_seq.img

These images are highly useful in modeling. Without them, the model would have no information on which particular image the spectral information is derived from. This information is critical, since image standardization does not account for all of the differences between images (e.g. atmospheric and seasonality differences).

The resulting six band Landsat ETM+ mosaics for each season can be found at:

/CO/ARCHIVE/CO1\_CO2\_MMZ7/MOSAICS/mz7buff\_spr\_aea.img  
/CO/ARCHIVE/CO1\_CO2\_MMZ7/MOSAICS/mz7buff\_sum\_aea.img  
/CO/ARCHIVE/CO1\_CO2\_MMZ7/MOSAICS/mz7buff\_fall\_aea.img

***c) Image derived datasets:***

Tasseled cap: Brightness, Greenness & Wetness band transformations were created using coefficients derived for the Landsat 7 ETM+ sensor, by Huang, et. al (2001b). The .gmd file can be found at:

/CO/ARCHIVE/CO1\_CO2\_MMZ7/IMG\_FILES/MODELS/eros\_tcap\_mod.gmd

Normalized Difference Vegetation Index (NDVI): Initially calculated as float data (possible data ranging between -1 and 1), with a model (CO/ARCHIVE/CO1\_CO2\_MMZ7/IMG\_FILES/MODELS/my\_ndvi\_float.gmd) that performed the normalized band ratio  $(\text{band4} - \text{band3}) / (\text{band4} + \text{band3})$ . Later, when it was determined that byte data were required, another model (CO/ARCHIVE/CO1\_CO2\_MMZ7/IMG\_FILES/MODELS/nd2nd\_byte.gmd) was used to make all values positive with a possible range from 0 to 200 (by adding one and then multiplying by 100).

Non-Photosynthetic Vegetation Index (NPV): A newly developed index for this project, similar to NDVI in that it is a normalized ratio that ranges from -1 to +1, this ratio highlights ETM+ band 5 information that was not very evident in the new Tasseled Cap transforms. In earlier versions, the Tasseled Cap “Wetness” was somewhat inversely related to non-photosynthetic vegetation (and band 5). Non-photosynthetic vegetation (and band 5) information can help differentiate many cover types. The lack of NPV information in the new transform made this ratio useful. The ratio is  $(\text{band5} - \text{band4} - \text{band3}) / (\text{band5} + \text{band4} + \text{band3})$ . One is added and the result multiplied by 100 to scale the ratio between 0 and 200. The .gmd file can be found at:

/CO/ARCHIVE/CO1\_CO2\_MMZ7/IMG\_FILES/MODELS/npv\_ratio\_c.gmd

All image derived predictor layers can be found at:

/CO/ARCHIVE/CO1\_CO2\_MMZ7/IMG\_FILES/.

***d) DEM derived datasets:***

Thirty meter digital elevation models were obtained from the EROS Data Center, National Elevation Database (NED). The date for these data was October 1999. DEMs were converted from floating point grids to integer grids and mosaicked for the region, then clipped to the mapping area.

Slope: Expressed in degrees, as calculated by ERDAS Imagine's slope routine.

Aspect: A nine class aspect grid. Values 1=N, 2, NE, 3=E, 4=SE, 5=S, 6=SW, 7=W, 8=NW, 9 = FLAT.

Landform: A 10 class landform grid derived from a topographic relative moisture (values ranging from 0-28) index grid (Manis et. al 2001).

For modeling purposes all arcinfo grids were converted to ERDAS Imagine .img files and can be found at:

/CO/ARCHIVE/CO1\_CO2\_MMZ7/IMG\_FILES/.

**2) Samples:**

***a) Sample collection methods:***

Samples were collected in a variety of ways. Originally, it was thought that most, if not all, of the sampling would be derived from field collected information – polygons delineated over imagery in the field by field crews. Classification trees, however, require substantial amounts of training data so that additional information had to be acquired. Each type of data can be distinguished by the SITEID field in the polygon coverage:

SWReGAP data – polygons collected in the field by Colorado field crew personnel. The SITEID contains CO for Colorado, followed by the date (mmddyy), the collector's initials, and a two digit number to distinguish the site from others collected on the same day.

“Basinwide” data - points collected in the field by another recent Colorado vegetation mapping effort: the Colorado Vegetation Classification Project (<http://ndis.nrel.colostate.edu>). As points, they had to be buffered (45 meters) to provide polygons (approximately 3 pixels by 3 pixels) that could be used in our modeling. They usually contain a field person's initials and a date etc., but they might be best distinguished by not following the naming convention of the other sources.

“Augmentation” data – these are polygons screen digitized by image analysts guided by field information, aerial photography (<http://terraserver-usa.com>), satellite imagery and/or context. These were often generated in areas that were persistently and obviously misclassified in modeling, and to replace imprecise field data, such as a Basinwide point located on a road. The SITEID begins with an “AD” for “Augmentation Data”, followed

by the date (mmddyy), the analyst's initials, and a two digit number to distinguish the polygon from others collected on the same day.

Some use was made of the U.S. Forest Service's "R2VEG" vegetation coverage (e.g. <http://www.fs.fed.us/r2/sanjuan/projects/gis/index.shtml>) to guide the augmentation of polygons. Some consideration was given to "burning in" these forest service polygons directly, but the guided augmentation approach permitted the better modeling of areas lacking R2VEG coverage, and provided a more independent mapping that was consistent with the rest of our effort; a separate assessment could be conducted to determine whether a direct "burn in" would have been more accurate.

***b) Summary of samples:***

7,180 samples were available for modeling. Two polygon coverages containing all samples are located at: CO/archive/CO1\_CO2/train\_data/.

The two coverages are a pre- and post- "shrunk" coverage, relating to a step necessary for modeling described in section 5.a).

The table below shows the classes that were mapped in this map zone, and the number of sample polygons for each class. These classes make up the hybrid/alliance classification. Simple recoding of the alliances to systems for the systems classification is described in section 5.b).

(Technically, a few more cover types not shown were actually modeled and then aggregated for the hybrid map; this recoding is described in section 5.b and in CO/ARCHIVE/CO1\_CO2/POST\_MODEL/recodes.doc; the numbers below reflect the aggregation into the final classes.)

The absence of any samples for S030 and S034 relates to the fact that these mesic versions of S028 and S032, respectively, were difficult to identify in the field (and from other data sources) and were therefore only mapped with post classification tree modeling as described in section 5.b).

CODE	# SAMPLES	DESCRIPTION
S002	6	ROCKY MOUNTAIN ALPINE BEDROCK AND SCREE
S006	44	ROCKY MOUNTAIN CLIFF AND CANYON COMPLEX
S009	7	INTER-MOUNTAIN BASINS CLIFF AND CANYON COMPLEX
S010	74	COLORADO PLATEAU MIXED BEDROCK CANYON AND TABLELAND
S011	64	INTER-MOUNTAIN BASINS SHALE BADLANDS
S015	4	INTER-MOUNTAIN BASINS PLAYA
S023	292	ROCKY MOUNTAIN ASPEN FOREST AND WOODLAND
S025	6	ROCKY MOUNTAIN SUBALPINE-MONTANE LIMBER-BRISTLECONE PINE WOODLAND
S028	65	ROCKY MOUNTAINS SUBALPINE DRY-MESIC SPRUCE-FIR FOREST AND WOODLAND
S030	0	ROCKY MOUNTAINS SUBALPINE MESIC SPRUCE-FIR FOREST AND WOODLAND

S031	44	ROCKY MOUNTAINS LODGEPOLE PINE FOREST
	129	ROCKY MOUNTAINS MONTANE DRY-MESIC MIXED CONIFER FOREST
S032	0	AND WOODLAND
		ROCKY MOUNTAINS MONTANE MESIC MIXED CONIFER FOREST AND
S034		WOODLAND
S036	186	ROCKY MOUNTAINS PONDEROSA PINE WOODLAND
S039	873	COLORADO PLATEAU PINYON-JUNIPER WOODLAND
	90	INTER-MOUNTAIN WEST ASPEN-MIXED CONIFER FOREST AND
S042		WOODLAND COMPLEX
S045	154	INTER-MOUNTAIN BASINS MAT SALTBUSSH SHRUBLAND
S046	467	ROCKY MOUNTAINS GAMBEL OAK - MIXED MONTANE SHRUBLAND
S047	75	ROCKY MOUNTAINS LOWER MONTANE-FOOTHILL SHRUBLAND
	9	INTER-MOUNTAIN BASINS MOUNTAIN MAHOGANY WOODLAND AND
S050		SHRUBLAND
S052	101	COLORADO PLATEAU PINYON-JUNIPER SHRUBLAND
S054	1059	INTER-MOUNTAIN BASINS BIG SAGEBRUSH SHRUBLAND
S056	16	COLORADO PLATEAU MIXED LOW SAGEBRUSH SHRUBLAND
S059	19	COLORADO PLATEAU BLACKBRUSH-MORMON TEA SHRUBLAND
S065	192	INTER-MOUNTAIN BASINS MIXED SALT DESERT SCRUB
S071	535	INTER-MOUNTAIN BASINS MONTANE SAGEBRUSH STEPPE
S075	95	INTER-MOUNTAIN BASINS JUNIPER SAVANNA
S079	110	INTER-MOUNTAIN BASINS SEMI-DESERT SHRUB STEPPE
S083	69	ROCKY MOUNTAIN SUBALPINE MESIC MEADOW
S085	100	SOUTHERN ROCKY MOUNTAINS MONTANE GRASSLAND
S090	175	INTER-MOUNTAIN BASINS SEMI-DESERT GRASSLAND
S091	22	ROCKY MOUNTAINS SUBALPINE/MONTANE RIPARIAN SHRUBLAND
S092	1	ROCKY MOUNTAINS SUBALPINE/MONTANE RIPARIAN WOODLAND
	153	ROCKY MOUNTAINS LOWER MONTANE RIPARIAN WOODLAND AND
S093		SHRUBLAND COMPLEX
S096	190	INTER-MOUNTAIN BASINS GREASEWOOD FLAT COMPLEX
S100	23	NORTH AMERICAN ARID WEST EMERGENT MARSH
S102	19	ROCKY MOUNTAINS ALPINE/MONTANE WET MEADOW
S128	25	WYOMING BASINS LOW SAGEBRUSH SHRUBLAND
N11	69	OPEN WATER
N21	47	DEVELOPED, OPEN SPACE-LOW INTENSITY
N22	36	DEVELOPED, MEDIUM - HIGH INTENSITY
N81	598	PASTURE/HAY
N82	296	CULTIVATED CROPS AND IRRIGATED AGRICULTURE
D01	1	NON-SPECIFIC DISTURBED
D02	36	RECENTLY BURNED
D03	68	RECENTLY MINED OR QUARRIED
D04	27	INVASIVE SOUTHWEST RIPARIAN WOODLAND AND SHRUBLAND
D06	62	INVASIVE PERENNIAL GRASSLAND
D08	104	INVASIVE ANNUAL GRASSLAND
D09	47	INVASIVE ANNUAL AND BIENNIAL FORBLAND
D10	18	RECENTLY LOGGED AREAS
D11	40	RECENTLY CHAINED PINYON-JUNIPER AREAS
D15	43	CONSERVATION RESERVE PROGRAM (CRP) LANDS
A788	13	ARCTOSTAPHYLOS PATULA SHRUBLAND ALLIANCE
A913	34	AMELANCHIER ALNIFOLIA SHRUBLAND ALLIANCE
A916	83	AMELANCHIER UTAHENSIS SHRUBLAND ALLIANCE
A1523	65	PURSHIA TRIDENTATA SHRUB HERBACEOUS ALLIANCE

### **3) Cover types:**

#### ***a) Classification Tree (CT) modeled cover types:***

See above table.

#### ***b) Non CT modeled cover types:***

NONE. Colorado relied on classification tree (CT) modeling, with post-classification modeling and recoding as necessary, to map all cover types. Some ancillary coverages were used in this process, but they were never directly “burned in”.

### **4) Summary of predictor layers used:**

#### ***a) Multi band predictors:***

NONE. In particular, raw ETM+ bands were not used. The Tasseled Cap Transforms and the ratios captured most of the spectral variability in the imagery in a way that might be more efficiently utilized by the classification tree algorithm, and in a way that might be more meaningfully interpreted. (As a test, we conducted a Kappa evaluation of a map created with raw bands and a map created with the transforms plus ratios; the results were essentially identical.)

#### ***b) Single band predictors:***

In addition to the scene sequence images, the model used the spring, summer, and fall Tasseled Cap products (Brightness, Greenness, and Wetness), the spring, summer, and fall NDVI and NPV ratio, and elevation. Slope, aspect, and landform were not used, as it was determined that these often had deleterious effects on the modeling.

All single-band predictors can be found at:

[/CO/ARCHIVE/CO1\\_CO2\\_MMZ7/IMG\\_FILES/](/CO/ARCHIVE/CO1_CO2_MMZ7/IMG_FILES/)

### **5) Modeling Methods:**

#### ***a) See5 Classification Tree modeling:***

Sampling:

Pseudo-replication within each sample polygon was conducted in order to increase the number of samples used by the classification algorithm. While this use of non-independent data is not ideal for classification tree modeling, it has been found to improve classification accuracies, particularly when there are limited amounts of training data.

The pseudo-replication process involved several steps to generate random pixels within each sample polygon. Each sample polygon was first shrunk by 13 meters. This somewhat arbitrary distance was selected so that when random points placed within the shrunken polygon were converted to pixels in a raster grid, a good part of any of the resulting pixels would not lie outside the original sample polygon. Next, 20 random points were placed within each of the shrunken polygons using an [Arcview Avenue script](#). Finally, the points were converted to pixels while ensuring that the resulting pixels (the new grid) aligned with the raster predictor layers (failure to ensure this would result in a future resampling that would be more likely to place pixels outside the original sample polygon). The resulting sub-sampled pixels would often be less than 20 per sample polygon, if random points fell within the same pixel. This was far more likely on smaller polygons, such as the buffered Basinwide points, for which there would often only be five or six sub-samples per polygon. This new grid was converted to an Imagine IMG file and is available at:

CO/ARCHIVE/CO1\_CO2\_MMZ7/TRAIN\_DATA/rp4325g.img

These sub-sampled pixels were then individually drilled through predictor layers using the sampling tool from the CART Module for Imagine (EarthSatellite Corp. 2003). 10% of the *pixels* were set aside as “test data” (although these were not considered to be at all independent, and this is not the same as the validation *polygon* data set described in Section 6).

See5 Classification Tree:

See5 (Release 1.8) data mining software ([www.rulequest.com](http://www.rulequest.com)) was then used for generating classification trees. Boosting was employed using 10 trials with no pruning. Boosting involves successive modeling runs on a portion of the data (90% with 10% set aside for evaluation each time, given 10 trials). After the first run, the model tries to focus on the types it erred on in the previous run(s). After 10 runs, voting occurs, whereby the most common classification for a given pixel is assigned to that pixel.

The See5 related files (\*.names, \*.names.hst, \*.data, \*.test, \*.out, \*.tree, and \*.set) are located at: CO/ARCHIVE/CO1\_CO2\_MMZ7/OUTPUT/.

The \*.names file describes the dependent variable and the independent variables. The \*.data file contains all of the raw training data for the dataset. The \*.test file contains the raw test data. The \*.out file describes all the rules – at the very bottom there is information on how the model performed on each of the 10 runs and on the final boost, both against the 90% training data and the 10% “test data”. The \*.tree is an application file used for applying the final rules.

Spatial application of rules:

The CART Module for Imagine (EarthSatellite Corp. 2003) was used to spatially apply the rules from the .tree file in See5 to create a classified image for the entire mapzone. In the process, an associated “\*\_error.img” was also created. This latter image is more of a “confidence” map, as higher values indicate greater classification confidence (i.e. less class confusion for similar pixels in the training data). Confidence should not be equated

with “accuracy”, as the absence of class confusion may simply result from a lack of similar training data, but this map does provide a potentially useful spatial evaluation.

The classified image and the associated “error.img” can be found at:  
/CO/ARCHIVE/CO1\_CO2\_MMZ7/OUTPUT/.

***b) Post-classification, recoding and other modeling steps:***

The output of the C5 classification was evaluated on a class by class basis by isolating individual classes on the map (this can be done in ERDAS IMAGINE by keeping the opacity of the evaluated class at 1 while changing the opacity of the other classes to 0). Anomalous distributions that were not thought to be naturally disjunct outliers were often removed through the generation of “areas of interest” (AOI’s) in Imagine, and recoding the problematic type within those areas to a more likely class value. AOI’s were named according to the type\_to\_type conversion and can be found at:

CO/ARCHIVE/CO1\_CO2\_MMZ7/AOI/.

Some of the recoding was more automated, such as the recoding of certain types within known urban areas. A Colorado road network coverage draped over Landsat ETM+ imagery was used to guide the digitizing of an urban layer; an AOI of these urban areas can be found at: CO/ARCHIVE/CO1\_CO2\_MMZ7/AOI/mmz7\_all\_urb2ed.aoi. This AOI was used to recode anything that mapped as a naturally barren type within an urban area to N22 (Developed, Medium – High Intensity). Similarly, any non-forested cover type that fell within a logged AOI

(CO/ARCHIVE/CO1\_CO2\_MMZ7/AOI/mmz7\_gmug\_rg\_rt\_sj.aoi) was recoded to D10 (Recently Logged). This AOI was derived from the U.S. Forest Service R2VEG coverage.

An exact description of the conversions within these AOI’s is given in:

CO/ARCHIVE/CO1\_CO2\_MMZ7/AOI/MMZ7\_AOI\_explanations.doc

One other recoding step involved converting the classes that were used in the modeling to those that were used in the final maps. (There are different reasons for different classes going into modeling. Some old class labels were grandfathered in to the modeling. In other cases, there was some uncertainty as to which alliance/system a cover type should go into, and class confusion in the final map was a good way of helping that decision.)

This recoding is described in:

CO/ARCHIVE/CO1\_CO2\_MMZ7/POST\_MODEL/recodes.doc

Finally, a model was used to separate the Mesic from the Dry-Mesic versions of systems S028 and S032 to create systems S030 and S034, respectively. The .gmd file used for this post-classification model can be found at:

CO/ARCHIVE/CO1\_CO2\_MMZ7/POST\_MODEL/mesic\_conifer\_classes.gmd

This model is actually a combination of the two models described below:

*Discriminating S028-Rocky Mountain Subalpine Dry-Mesic Spruce-Fir Forest and Woodland and S030-Rocky Mountain Subalpine Mesic Spruce-Fir Forest and Woodland:*

A post-classification model was used to discriminate S028 from S030. The logic and parameters for the model were as follows:

This post-classification model was designed to extract *S030-Rocky Mountain Subalpine Mesic Spruce-Fir Forest and Woodland* from the standard modeled *S028-Rocky Mountain Subalpine Dry-Mesic Spruce-Fir Forest and Woodland*. Nature Serve describes S030 to be “typically found in locations with cold air drainage or ponding, or where snowpacks linger late into the summer, such as north-facing slopes and high elevation ravines. They can extend down in elevation below the subalpine zone in places where cold air ponding occurs; northerly and easterly aspects predominate. These forests are found on gentle to very steep mountain slopes, high elevation ridgetops and upper slopes, plateaulike surfaces, basins, alluvial terraces, well-drained benches, and inactive stream terraces.”

Model methods: A standard model was run withholding *S030*, consequently allowing *S028* to be mapped in its place. A condition statement was created to extract *S030* using the above aspect and landform characteristics in order to identify this subalpine mesic conifer system. The details of the conditional statement follow:

EITHER S030 IF ((Landform == 2 OR Landform == 5 OR Landform == 6 OR Landform == 9) AND (Aspect == 1 OR Aspect == 2 OR Aspect == 0) AND (Standard Modeled Vegetation Output == S028)) OR Standard Modeled Vegetation Output OTHERWISE

Discriminating *S032-Rocky Mountain Montane Dry-Mesic Mixed Conifer Forest and Woodland* and *S034-Rocky Mountain Montane Mesic Mixed Conifer Forest and Woodland*: A post-classification model was used to discriminate *S032* from *S034*. The logic and parameters for the model were as follows:

This post-classification model was designed to extract *S032-Rocky Mountain Montane Dry-Mesic Mixed Conifer Forest and Woodland* from the standard modeled *S034-Rocky Mountain Montane Mesic Mixed Conifer Forest and Woodland* ecological system cover type. Nature Serve describes this system as “occurring predominantly in cool ravines and on north-facing slopes. Elevations range from 1200 to 3300 m. Occurrences of this system are found on cooler and more mesic sites than the *Rocky Mountain Montane Dry-Mesic Mixed Conifer Forest and Woodland*. Such sites include lower and middle slopes of ravines, along stream terraces, moist, concave topographic positions and north and east-facing slopes which burn somewhat infrequently.”

Model methods: A standard model was run withholding *S034*, consequently allowing *S032* to be mapped in its place. A condition statement was created to extract *S034* using the above aspect and landform characteristics in order to identify this montane mesic mixed conifer system. The details of the conditional statement follow:

EITHER S034 IF ((Landform == 2 OR Landform == 5 OR Landform == 6 OR Landform == 9) AND (Aspect == 1 OR Aspect == 2 OR Aspect == 0) AND (Standard Modeled Vegetation Output == S032)) OR Standard Modeled Vegetation Output OTHERWISE

**c) Generalizing to MMU and map completion:**

After the spatial application of the CT model to create an \*.img file, and post classification steps were taken, the map was generalized using ERDAS Imagine 8.6 GIS Analysis, Clump tool using *4 connected neighbors* (rooks move), and then using Eliminate with a setting of a *minimum of 1 acre*.

Finally, alliances were recoded to systems to create a “Systems only” final map. A description of this simple recoding is found at:

/CO/ARCHIVE/CO1\_CO2\_MMZ7/POST\_MODEL/alliance\_recodes.doc

Both maps can be found at: /CO/ARCHIVE/CO1\_CO2\_MMZ7/FINAL\_MAP/.

**6) Validation:**

**a) CT model validation:**

Classification assessment was an internal validation in that it used a subset of the reference data collected for modeling purposes. 20% of the reference sample polygons were randomly selected (using the featureselect.avx) and set aside for validation. A CT model was then generated with the remaining 80% of sample polygons, using the same procedures described in section 5.a). This model was recoded to final “hybrid” classes as described in section 5.b) and

CO/ARCHIVE/CO1\_CO2\_MMZ7/POST\_MODEL/recodes.doc, and from there to final “system” classes as described in section 5.c) and

/CO/ARCHIVE/CO1\_CO2\_MMZ7/POST\_MODEL/alliance\_recodes.doc. Without any post-classification modeling or clump/eliminate, these recoded land cover maps were then assessed with the appropriate recoded version of the 20% reference sample. (The lack of post-processing increased the fidelity between the map and the training data; for example, there were no mesic conifer classes in the training data. This was thought to provide a better indication of model performance.)

The CT-modeled classes were assessed using kappa.avx, which intersects the validation sample polygons through the CT modeled land cover map, and considers the site correctly mapped when the most common pixel value within the sample polygon agrees with the sample label. Output from kappa.avx consists of a \*.txt, \*.dbf, and \*.shp file. The \*.txt file presents the kappa statistic, the \*.dbf file is an error matrix indicating errors of commission and omission and the \*.shp file indicates for each reference sample site whether the sample location was considered correctly mapped, or incorrectly mapped and what it was mapped as. The \*.dbf files are a bit difficult to read with the default output; they have been modified into cleaner looking matrices and saved as \*.xls files. All of these files can be found at: /CO/ARCHIVE/CO1\_CO2\_MMZ7/VALIDATION/.

Several (internal) validations were performed. The first Kappa run (and the corresponding .txt file, .xls file, and .shp file) is an evaluation of the hybrid product

(generated from the 80% sample) against 20% of all the training data (including augmentation polygons). The second Kappa run is a similar evaluation of the systems product (simply the hybrid with the few alliances recoded to systems). The third run is an evaluation of the systems map against only the portion of the 20% withheld data that was collected in the field (both Basinwide and SWReGAP). The fourth run is an evaluation of the systems map against only the withheld data that was collected by SWReGAP field crews. Finally, the fifth run is an evaluation of the final map (generated using 100% of the training data) against all 100% of the reference data. This last product should not be considered a validation and could be very misleading – but it may demonstrate which classes are simply very difficult for the model to separate.

***b) Discussion of mapped cover types:***

The following is a somewhat qualitative evaluation of the first Kappa run, reviewing the model's performance on each of the classes of the hybrid scheme against a 20% sampling of all the training data (including augmented polygons). This evaluation is not much different than it would be for the systems scheme, as there is not much of a difference between the hybrid product and the systems product. Neither is there a tremendous difference between evaluating with all of the training data or with SWReGAP field-collected polygons only.

The producer's and user's accuracies described below relate to omission and commission errors, respectively, for the different classes of the hybrid (alliance/systems) level map. [Actual tables are found under ARCHIVE/CO1\_CO2/VALIDATION at errmtx1.dbf and matrix1\_adj.xls.] In some cases a given class was not mapped in any of the training sites; while there were no errors of commission, the class was not mapped correctly anywhere either, and so the resulting 0/0 has no user's accuracy.

A1523 (Bitterbrush): 62% producer's and 62% user's suggest that this alliance is being mapped reasonably well, except for some omission to big sagebrush (and, to a lesser extent, commission of semi-desert shrub steppe and semi-desert grassland). [13 sites]

A788 (Manzanita): 67% producer's and 100% user's suggest that manzanita may occasionally be replaced by Ponderosa, but that it's not overmapping extensively. There were too few samples to get a solid understanding. [3 sites]

A913 (Saskatoon Serviceberry): 29% producer's and 100% user's suggest strong omission to montane sagebrush (likely confusion with lush snowberry), but not overmapping. Again, there were too few sites to get a good picture. [7 sites]

A916 (Utah Serviceberry): 18% producer's and 60% user's suggest that it is strongly omitted in favor of montane sagebrush, Gambel oak, and foothill shrubland, in that order. There is also a little commission of montane sagebrush, but it does not appear to overmap extensively. [17 sites]

D02 (Recent Burn): 100 producer's and 89% user's suggest that burns are mapped well. The one error is likely anomalous. [8 sites]

D03 (Mining): 79% producer's and 65% user's indicate that there is some omission to shale badlands, but more of a concern with commission of urban, various naturally barren types, and the salt desert shrublands. The confusion with urban was most likely resolved with post-processing. [14 sites]

D04 (Invasive Riparian Woodland): 33% producer's and 100% user's suggest some omission to water, native riparian woodland, and greasewood, but not overmapping. It was not an extensive sampling, however. [6 sites]

D06 (Invasive Perennial Grassland): 23% producer's and 100% user's suggest strong omission to big sagebrush (they may be coincident) and some omission to pasture (which may be the same cover type), but not overmapping. [13 sites]

D08 (Invasive Annual Grassland): 29% producer's and 75% user's suggest that it is also strongly omitted to big sagebrush (again, they may be coincident) and some omission to pasture (which, again, may be the same cover type), but also not overmapping extensively. [21 sites]

D09 (Invasive Annual and Biennial Forbland): 30% producer's and 75% user's demonstrate that it is also often omitted to such types as semi-desert shrub steppe and pasture, but that it is not being overmapped extensively. [10 sites]

D10 (Recently Logged): 100% producer's and 100% user's suggest that this type is being mapped very well, but there are too few sites to make any strong conclusions. [4 sites]

D11 (Chained Pinyon-Juniper): 63% producer's and 71% user's suggest that there is a fair amount of omission to Pinyon-Juniper woodlands and some commission of montane sagebrush. [8 sites]

D15 (CRP): 44% producer's and 44% user's indicates fairly strong omission to big sagebrush, as well as fairly strong commission of pasture and big sagebrush. [9 sites]

N11 (Water): 100% producer's and 93% user's indicates that water is mapped well. [14 sites]

N21 (Low Intensity Development): 70% producer's and 78% user's indicates some omission to Pinyon-Juniper woodland (which is very surprising) and a little bit of commission of High Intensity Development and Pasture. [10 sites]

N22 (High Intensity Development): 50% producer's and 80% user's suggest a fair amount of omission to mining and naturally barren types. These were probably handled

with post-processing. The one commission error of salt desert shrubland was likely anomalous and probably handled with post-processing. [8 sites]

N81 (Pasture): 69% producer's and 69% user's suggests some omission to big sagebrush, agriculture, montane sagebrush, and riparian woodland (in that order), as well as some commission of montane sagebrush, Gambel oak, agriculture, and big sagebrush (in that order). [120 sites]

N82 (Agriculture): 78% producer's and 80% user's indicates that agriculture was only somewhat confused with pasture, and, to a much lesser extent, big sagebrush. [60 sites]

S002 (Alpine Bedrock and Scree): 100% producer's and 100% user's are encouraging, but this type was very rare in the area, and there weren't enough samples to adequately evaluate. [2 sites]

S006 (Rocky Mountain Cliff and Canyon): 67% producer's and 55% user's indicate various minor problems with this class which generally occurs in areas that are tough to map. The most notable problem is the commission of both mountain and big sagebrush. [9 sites]

S009 (Inter-Mountain Basins Cliff and Canyon): 0% producers's (0/0 user's) indicates that these sites were not mapped and were confused with the saltbushes. This class label was rarely used and may not have even been appropriate for Colorado. [2 sites]

S010 (Colorado Plateau Canyon and Tableland): 60% producer's and 60% user's indicates that this variable class was confused with a variety of types (most often salt desert scrub). [15 sites]

S011 (Inter-Mountain Basins Shale Badlands): 8% producer's and 17% user's indicates that this class was often mapped as mat saltbush, other desert shrublands, and mining, but was also mapped instead of mat saltbush and mining. [13 sites]

S015 (Inter-Mountain Basins Playa): 0% producer's and 0% user's (2 errors of commission) indicates that this class was both omitted to mat saltbush and committed to mat saltbush. The actual map was probably much more accurate than this, as the type is probably limited to the few areas where there were training sites. [1 site]

S023 (Aspen): 81% producer's and 79% user's suggests that aspen was occasionally omitted to montane sagebrush, lower montane riparian woodland, and Gambel oak, and was occasionally mapped instead of Gambel oak and lower montane riparian woodland. [59 sites]

S025 (Subalpine Limber-Bristlecone Pine): 0% producer's (0/0 user's) suggests that this class might not have mapped very well but that at least it probably didn't overmap extensively. More sample sites would be needed to better evaluate the accuracy. [2 sites]

S028 (Spruce-Fir): 85% producer's and 73% user's suggests that spruce-fir was only rarely omitted to Lodgepole Pine and Mixed Conifer. More commonly, it was mapped instead of the Aspen-Mixed Conifer (although this could be due, in part, to an overly generous labeling of training sites as Aspen-Mixed Conifer). [13 sites]

S031 (Lodgepole Pine): 89% producer's and 67% user's suggests only minimal omission to Aspen-Mixed Conifer, but more common commission of mixed conifer and spruce-fir. [9 sites]

S032 (Mixed Conifer): 62% producer's and 67% user's demonstrates that this class was fairly often replaced by Aspen-Mixed Conifer, and occasionally by Lodgepole Pine or Ponderosa Pine. On the other hand, it also committed a fair amount of Ponderosa Pine and even some Gambel oak – one would presume the latter on steep north facing slopes. [26 sites]

S036 (Ponderosa Pine): 61% producer's and 63% user's indicates that Ponderosa was often omitted to Pinyon-Juniper and occasionally omitted to mixed conifer and montane sagebrush. On the other hand, it was often mapped instead of Pinyon-Juniper, and occasionally mapped instead of Mixed Conifer and Limber-Bristlecone Pine. [36 sites]

S039 (Colorado Plateau Pinyon-Juniper Woodland): 89% producer's and 77% user's indicates that P-J was occasionally replaced by Ponderosa or P-J shrubland, but more commonly it was mapped instead of Ponderosa or Juniper savanna. The latter problem was handled with geographic post-processing. [175 sites]

S042 (Aspen-Mixed Conifer): 61% producer's and 65% user's suggest that it is fairly often replaced by spruce-fir and it fairly often replaces Mixed Conifer. This may be more of an issue of training data labeling than mappability. [18 sites]

S045 (Mat Saltbush Shrubland): 48% producer's and 50% user's suggests that this type is often confused with Big Sagebrush, Mixed Salt Desert Scrub, and some naturally barren types. [31 sites]

S046 (Gambel oak): 77% producer's and 75% user's suggest that it is occasionally replaced by montane sagebrush, and, less commonly, by aspen and (surprisingly) pasture. On the other hand, it occasionally replaces Utah Serviceberry, big sagebrush, and montane sagebrush. [94 sites]

S047 (Foothill Shrubland): 33% producer's and 50% user's indicates that it is often replaced by P-J woodland and occasionally replaced by big sagebrush and montane sagebrush. It occasionally replaces Utah Serviceberry and a few other types. [15 sites]

S050 (Great Basin Mountain Mahogany Woodland): 0% producer's (0/0 user's) indicates that it might not be getting mapped very well, but that it's probably not overmapping extensively. More sample sites are needed. [2 sites]

S052 (Pinyon-Juniper Shrubland): 71% producer's and 56% user's indicates that it is occasionally replaced by P-J woodland and big sagebrush shrubland, but that, more commonly, it replaces P-J woodland. [21 sites]

S054 (Big Sagebrush Shrubland): 84% producer's and 59% user's indicate that big sagebrush is only occasionally replaced by montane sagebrush and a smattering of classes, but that, more frequently, it dominates the classification and substantially replaces other classes. Replacement of semi-desert grassland is the most striking (one might wonder the extent to which these were true "grasslands" in the first place), but montane sagebrush, salt desert scrub, pasture, semi-desert shrub steppe, greasewood and a several other classes are often mapped as basin big sagebrush as well. [212 sites]

S056 (Colorado Plateau Mixed Low Sagebrush): 0% producer's and 0% user's (1 error of commission) indicates that it's being replaced by big sagebrush and some other types. Alternatively, it doesn't appear to be overmapping extensively. More training data are needed. [4 sites]

S059 (Blackbrush-Mormon Tea Shrubland): 100% producer's and 67% user's are encouraging (just a little commission of semi-desert shrub steppe), but there aren't enough sample sites to generate extremely high confidence. [4 sites]

S065 (Mixed Salt Desert Scrub): 41% producer's and 46% user's suggest that this type is often replaced by big sagebrush and is occasionally replaced by mat saltbush. On the other hand, it often replaces mat saltbush. [39 sites]

S071 (Montane Sagebrush Steppe): 67% producer's and 60% user's indicate that it is fairly often replaced by big sagebrush and pasture, but that more often it replaces other types, including Gambel oak, big sagebrush, the serviceberries, and pasture. [107 sites ]

S075 (Juniper Savanna): 63% producer's and 80% user's suggest that it was fairly often mapped as P-J woodland and occasionally replaced P-J woodland (both problems were fixed by geographic post-processing). [19 sites]

S079 (Semi-Desert Shrub Steppe): 5% producer's and 14% user's confirm that this class is almost always replaced by big sagebrush and a wide range of other classes. Unfortunately, it also maps in some places where it does not belong. [22 sites]

S083 (Subalpine Meadow): 64% producer's and 56% user's indicates that meadows are occasionally mapped as something else such as montane sagebrush, and that they are often mapped instead of montane grasslands. [14 sites]

S085 (Montane Grasslands): 50% producer's and 71% user's indicates that montane grasslands are often called subalpine meadow and occasionally called montane sagebrush, and that they occasionally replace P-J woodland (surprisingly) and pasture. [20 sites]

S090 (Semi-Desert Grassland): 14% producer's and 42% user's indicates that these grasslands are strongly replaced by big sagebrush shrubland, and occasionally replace semi-desert shrub steppe and mixed salt desert scrub. [35 sites]

S091 (Subalpine/Montane Riparian Shrubland): 0% producer's (0/0 user's) indicates that this class is being replaced by montane sagebrush, Gambel oak, and Aspen, but that at least it is not overmapping extensively. This class needs more sample sites. [5 sites]

S093 (Lower Montane Riparian Woodland): 60% producer's and 64% user's indicates that it is often replaced by Aspen, and occasionally by Gambel oak and pasture. Alternatively, it often replaces marshlands and occasionally replaces aspen and pasture. [30 sites]

S096 (Greasewood): 55% producer's and 68% user's indicates that it is often replaced by big sagebrush but also occasionally replaces big sagebrush. [38 sites]

S100 (Emergent Marsh): 0% producer's and 0% user's (1 error of commission) indicates that this class is generally replaced by Lower Montane Riparian Woodland, but can also map in place of the same class. This class needs more sample sites. [4 sites]

S102 (Alpine/Montane Wet Meadow): 25% producer's and 33% user's indicate that it's generally mapped as pasture or montane sagebrush, but that it can also replace sagebrush and aspen. [4 sites]

S128 (Wyoming Basins Low Sagebrush): 40% producer's and 40% user's indicates that it's often replaced by big sagebrush or montane sagebrush, but that it can also replace montane and desert grasslands. [5 sites]

D01 (Non-specific disturbed): 0% producer's (0/0 user's) indicates that the one site got mapped as sagebrush. This class would need more sample sites. [1 site]

S092 (Subalpine/Montane Riparian Woodland): 0% producer's (0/0 user's) indicates that the one site got mapped as aspen (which may not be entirely incorrect). This class would need more sample sites. [1 site]

## **7) Citations:**

Chavez, P. S. Jr., 1988, An improved dark-object subtraction technique for atmospheric scattering correction of multispectral data. *Remote Sens. Environ.*, 14, pp.459-479.

Chavez, P. S. Jr., 1996, Image-based atmospheric corrections—revisited and revised. *Photogrammetric Engineering and Remote Sensing* 62(9): 1025-1036.

Comer, P., D. Faber-Langendoen, R. Evans, S. Gawler, C. Josse, G. Kittel, S. Mernard, M. Pyne, M. Ried, K. Schulz, K. Snow, and J. Teague. 2003. Ecological systems of the

United States: A working classification of US terrestrial systems. NatureServe, Arlington, Virginia.

EarthSatellite. 2003. CART Software User's Guide, prepared by EarthSatellite Corporation for the US Geological Survey in support of the National Land Cover Database (NLCD) 2000. EarthSatellite, January 2003.

Manis, G., J. Lowry and R. D. Ramsey, 2001, Preclassification: An ecologically predictive landform model. GAP Analysis Bulletin No. 10. USGS.

Huang, C. L. Yang, C. Homer, B. Wylie, J. Vogelmann and T. DeFelice, 2001, At-sensor reflectance: A first order normalization of Landsat 7 ETM+ Images. (<http://landcover.usgs.gov/pdf/huang2.pdf>)

Huang, C., B. Wylie, C. Homer, L. Yang, and G. Zylstra, 2002, Derivation of a tasseled cap transformation based on Landsat 7 at-satellite reflectance. International Journal of Remote Sensing, 8: 1741-1748.